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State University of New York College of Environmental Science and Forestry  
*Office of Instruction and Graduate Studies*

**Doctoral Student Guidelines for Filing Graduate Program of Study (3B Form)**

Your program of study must include the sequence of courses you must complete and a plan for your research. The program of study, developed by you with the advice and approval of your major professor and other members of your steering committee, must be submitted to your Department Chair for approval, and then forwarded to the Dean of Instruction and Graduate Studies at least by the end of your third semester. This program of study can be changed during the course of your studies via petition, Form 4, or online through the student portal. Changes must be approved by the major professor, Department Chair, and the Dean of Instruction and Graduate Studies.

The following summarizes the program requirements which must be completed before a graduate degree will be awarded:

***Doctor of Philosophy Degree***

*General Requirements*

The Doctor of Philosophy degree is an academic degree offered in the following degree programs: Environmental and Forest Chemistry, Environmental and Forest Biology, Forest Resources Management, Environmental and Resource Engineering, and Environmental Science. The Doctor of Philosophy (Ph.D.) degree requires a minimum of 60 graduate credits, of which 30 to 48 credits are for coursework and 12 to 30 credits are awarded for thesis. Individual departments will determine the applicable credit hour requirements within these ranges to reflect individual program requirements and emphases. The graduate credits earned for a Master's Degree that are applicable to a student's doctoral study plan are determined on an individual basis by the steering committee. The student must pass the doctoral candidacy examination covering selected fields of study at least one year prior to dissertation defense, and successfully defend the dissertation. The dissertation must be prepared according to College standards and will be deposited in Moon Memorial Library.

***Doctoral Preliminary Examination***

The requirement for this examination is determined by individual departments. The purpose of this examination is to assess the entering student's basic knowledge in the chosen field of study. The results of this examination may be used to determine the student's suitability for the doctoral program and as a guide in selecting coursework and developing a program of study.

***Tool Requirements***

Doctoral students must demonstrate competence in at least one research tool as a requirement for graduation. Such tools include statistics, computer science, or the ability to translate technical articles in a language other than English commonly used in science. Tool requirements and standards for each doctorate program will be determined by the corresponding program department.

***Communication Skills***

All students entering graduate programs at ESF are expected to be proficient in communication skills, including technical writing and library skills. Students are required to have completed at least one course in technical writing and one course in library usage, either as an undergraduate or as a graduate student. Credits for such courses taken during the graduate program are not counted towards degree requirements. Alternatively, graduate students can meet the requirement by demonstrating the equivalent in experience in writing and library skills, as determined by the steering committee.

***Seminars***

Participation in seminars, including the preparation and presentation of technical material, is vital to the student's graduate education. All graduate students at ESF are required to participate in graduate seminars, as follows:

Each graduate student is expected to participate in topic seminars, including presentations, as determined by the individual department. This requirement can be fulfilled, with appropriate approval, by seminars offered at Syracuse University or the SUNY Health Sciences Center.

Students completing the Ph.D. degree are required to present a "capstone seminar" on their dissertation. The purpose of the capstone seminar is to provide an opportunity for the graduate student to present technical information to a critical body of professionals and peers. This seminar will be presented prior to the dissertation defense and should be attended by the student's steering committee. Each seminar is open to the College community and will be announced College-wide to encourage attendance by students and faculty.

***Academic Performance***

All graduate students are required to maintain at least a 3.000 cumulative grade point average (4.000 =A) for graduate level courses. Students who do not maintain this average, or who receive two or more grades of Unsatisfactory (U) for work on the dissertation, will be placed on probation or suspended from ESF by the Dean of Instruction and Graduate Studies upon the recommendation of the College Subcommittee on Academic Standards.

***Credit Hour Load***

To meet academic requirements, graduate students must be registered for at least one credit each semester, excluding summers, from the first semester of matriculation until all degree requirements have been completed. Failure to register for each semester will result in the student being withdrawn from graduate study and, if the student wishes to return in the future, a new application must be filed and reviewed prior to readmission. Audited courses may not be used to satisfy full-time status. Students are required to register for at least one credit of thesis/dissertation research, professional experience, or independent study in the summer if they will complete all requirements during that time. There is no full-time credit-hour load to meet academic requirements.

Graduate students who hold an assistantship and/or a tuition scholarship must be in full-time status each semester while holding such an award. Registration for nine credits usually equates to full-time status for a student holding an assistantship. Graduate students not holding an assistantship are considered full-time if they are registered for at least 12 credits each semester.

Doctoral candidates (i.e., those who have successfully completed their doctoral candidacy examination), master’s students (M.F., M.P.S., M.L.A. and M.F.) who have met all academic requirements, and master of science (M.S.) students who have requested the appointment of a defense committee and intend to defend a thesis may be considered full time if registered for at least one credit of thesis/dissertation research, professional experience, or independent study and submit a “Request for Full-time Certification Form” to the Office of Instruction and Graduate Studies.

***Transfer Credit***

Credit hours appropriate to the graduate degree in which a minimum grade of B was earned from an accredited institution can be transferred to the college, but grades and grade points cannot be transferred.

Up to six credits of graduate coursework **not used to complete another degree** maybe accepted toward completion of a master’s or doctoral degree as approved by the steering committee**.**

Up to thirty credits of graduate level coursework **earned as part of a conferred master’s degree** may be transferred (by petition) to a doctoral degree with approval of the steering committee.

Students may transfer no more than nine credits of credit-bearing **non-degree ESF** coursework to graduate degree programs.

All transfer credit will remain tentative until official, final transcripts are received. It is the student’s responsibility to ensure that official, final transcripts are sent to and received by the college.

***Time Limits***

For the doctoral degree, students must complete the candidacy exam within three years of the first date of matriculation. Doctoral candidates must complete all degree requirements within three years of passing the doctoral candidacy examination, or they will be required to retake the candidacy examination.

Updated: 09/26/2011

*Form 3B (PhD)*

State University of New York

College of Environmental Science and Forestry

Office of Instruction & Graduate Studies

Graduate Student Program of Study: Doctor of Philosophy

Student: Date:

Department:

Area of Study:

A. **Coursework**

1. Transfer credits: The following courses have already been completed and should be transferred to meet doctoral degree requirements at ESF.

a. Graduate credits transferred from previous Master’s degree (may not exceed 30 credits of coursework – no thesis credits). Student must provide official **transcript** AND a formal **petition**.

Course Number Course Title Credits

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|  | **Subtotal** **a.** |  |

3B – PhD Student Name: b. Graduate credits transferred from non-degree programs, excluding ESF (**Petition** required):

Course Number Course Title Credits

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|  | **Subtotal** **b.** |  |

c. Non-degree ESF graduate credits (maximum of 9 credits without petition):

Course Number Course Title Credits

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|  | **Subtotal** **c.** |  |
|  | **Total (a + b + c) Transfer Credits** |  |

2. Suggested Courses: The following listed courses are desirable to broaden the student's program but are **NOT required to meet minimum degree requirements:**

Course Number Course Title Credits

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|  | **Subtotal**: |  |

3B – PhD Student Name: 3. Required Courses: The following courses (including topic seminars, but not including capstone seminar) are considered essential:

Course Number Course Title Credits

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|  | **Subtotal** |  |
|  | Total Transfer Coursework Credits |  |
|  | Total Required Course Credits |  |
|  | Total Transfer & Required Course Credits (must be 30-48) |  |
|  | Total Dissertation credits (Must be 12-30) |  |
|  | **Total Number of Credits (min. of 60)** |  |

3B – PhD Student Name:

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| B. Communication Skills | | | | Target Semester for Completion: | | |
| 1. Technical Writing | |  | | |  | |
| 2. Library Usage | |  | | |  | |
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| C. Preliminary examination | | | | | | |
|  | | Target semester for examination: | | |  | |
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| D. Research tool(s) | | | | | | |
| Tool: |  | | Target semester for Completion: | | |  |
| Tool: |  | | Target semester for Completion: | | |  |
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| E. Candidacy examination | |  | | |  | |
|  | | Target semester for examination: | | |  | |
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| F. Dissertation | |  | | |  | |
|  | | Target semester for proposal: | | |  | |
|  | | Target semester for capstone seminar: | | |  | |
|  | | Target semester for defense: | | |  | |

Accepted by:

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| Major Professor | Student |
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| Steering Committee member | Department Chair or Graduate Coordinator |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | **For non-ESF faculty committee appointments, please include the full name, affiliation, and EMAIL of the appointee.** |
| Steering Committee member |

cc: Department Chair

Major Professor

Dean of Instruction and Graduate Studies

Student

Registrar

CRT\_\_\_\_\_ Revised: 4/18/2012