ORP Policy 4

Budgeting Policies
Budgeting Policies: Contracts And Grants

I. Contracts:

Contracts awarded to SUNY-ESF via the Research Foundation of SUNY are considered agreements for specific research activities to be completed and reported within a mutually agreed upon framework of identified goals. These activities are then considered to be work for hire by the Sponsor and are, therefore, budgeted to recover all projected costs to be incurred by the College. Therefore, the following items are budgeted to fully recover SUNY-ESF costs beyond the direct cost items/categories requested by the Principal Investigator:

1. Principal and Co-Principal Investigators’ Salary: For calendar year appointees, ORP will budget the total projected salary expenditures calculated as a percentage of time the PI/CoPI will spend working on the project each project year. Normally this will be at least 5%. As a benchmark, ORP will budget 5% Academic Year or Calendar Year effort for the PI/CoPI for each Research Project Assistant (RPA)/graduate student budgeted at 50% FTE. (e.g. 1 50% RPA would require an aggregate of 5% effort between the PI and Co-PIs) Academic year appointees will be budgeted in two parts – academic year and summer salary based upon the same estimate of time. The calendar year or academic year salary budgeted will be charged to the RFSUNY Award by ORP and the funds will be transferred to the SUNY-ESF budget to be administered by the Provost. Summer salaries budgeted will be paid to the PI/CoPI via the Research Foundation for SUNY payroll process.

2. Tuition will be budgeted at the weighted average rate for each Research Project Assistant budgeted at an appointment level of 50% FTE. The current weighted average rate increases 4% each year:

   2017-2018 -- $13,096
   2018-2019 -- $13,620
   2019-2020 -- $14,165

II. Grants:

Grants awarded to SUNY-ESF via the Research Foundation for SUNY are considered program support for the SUNY-ESF Research Mission. As such, the budgets are developed within the same policies and indirect cost rates as contracts (see above) with the exception of the PI and CoPI salary recovery. Since grants are considered more cooperative in nature, faculty academic or calendar year salaries are not necessarily fully recovered by the College. Instead, generally only the first 5% for each of the PI and CoPI’s academic year or calendar year salaries are budgeted to the grant for each year of the project. Any salary amount projected by SUNY-ESF beyond that 5% per year is budgeted as cost-sharing and, therefore, not charged to the grant/contract.

III. Facilities and Administrative Rates

Please follow the links below for the current SUNY ESF annual rate listing by Fiscal Year on the RF SUNY site:
**Federal Rates - MTDC Base:** (The table provides the rates to be applied to a Modified Total Direct Cost base.)

Federal F&A Cost Rates Applied to a Modified Total Direct Cost Base
http://www.rfsuny.org/media/RFSUNY/Rates/Fringe-Benefits/fed_fa_table1.pdf

Nonfederal Rates - TDC Base: **(The table provides the rates to be applied to a Total Direct Cost Base.)**

NonFederal F&A Rate Costs Applied to Total Direct Cost Base