ORP Policy 7

Sponsored Program Expenditure & PI/CoPI Activity Credit

1. Expenditures by Program Table & Chart – (Informational) Overall summary of FY2005-06 expenditures by program.

2. Program Unit Expenditure Detail Tables – (Informational) Detail of expenditures by each Oracle Project. On rare occasions, more than one award is tied to an individual project. Only the primary sponsor is identified. PI/CoPI Credit Methodology drives the allocation of project expenditure credit among PIs & CoPIs, and their respective program unit(s), for each project.

PI/CoPI Credit Methodology – Each PI and CoPI identified on a sponsored program proposal or award documentation will receive a percentage credit of any activity related to that project (proposal, award book value, and project expenditures). These credit percentages will be used to distribute credit for award, expenditure, and proposal activity to each faculty member identified as a PI or CoPI on each Sponsored Program, as well as their respective college Faculties. As an initial starting point this fiscal year, we issued credit as follows: the identified Principal Investigator of a proposal or award received 2-parts credit and each CoPrincipal Investigator received 1-part credit.

Example 1: For a proposal or award with a PI and two CoPIs, the PI and his/her respective Faculty would receive 2/4=50% credit, and each CoPI and respective Faculty would receive 1/4=25% credit, for all sponsored program activities.

Example 2: For a proposal or award with a PI and three CoPIs, the PI and his/her respective Faculty would receive 2/5=40% credit, and each CoPI and respective Faculty would receive 1/5=20% credit, for all sponsored program activities.

This methodology change generally results in fractional numbers of proposal/awards credited to each faculty member and his/her respective college Faculty, as well as the respective fractional portion of the total proposal, award or expenditure amount. In the future, the PI and CoPIs will be encouraged to provide their own credit percentages at the time of proposal (or at the time of award, if no formal proposal was completed). It will be required that the PI and CoPI(s) reach mutual agreement on the credit percentages. Otherwise, the basic allocation percentages as described above will prevail.

3. Expenditure Summary by PI/CoPI – (Informational) This is a roll-up summary from the Program Unit Expenditure Detail Table. This table summarizes the Credited Number of Projects and Credited Expenditures, as well as the Indirect Cost Rate of Return for each faculty member.

4. Summary Comparative Expenditure Statistics –(Informational) This is also a roll-up from the Program Unit Expenditure Detail Table. This table also includes comparative statistics from the prior fiscal year. IMPORTANT NOTE: The methodology for credit to each Faculty has changed for this fiscal year as described above in (2). Because of this change, for this year only, the activity changes identified in this table between fiscal years may or may not be the result of an actual increase or decrease in faculty activity. Any activity changes may be the result of the changes made to the credit methodology.

Note for Chairs: This credit methodology change impacts not only research statistical reports, but also drives the Chairs’ and Individual Faculty Research Incentive Allocations. It is important for Chairs and faculty to recognize this impact when reviewing proposal budgets and award documents.